

IN THE UNITED STATES BANKRUPTCY COURT  
WESTERN DISTRICT OF PENNSYLVANIA

In Re:	<b>B.L. Gustafson, LLC</b>	:	Bankruptcy No. <b>17-10514</b>
		:	
	Debtor	:	
<b>B.L. Gustafson, LLC</b>		:	Chapter <b>11</b>
Movant		:	
		:	Related to Document No.
v.		:	
		:	
		:	
No Respondent		:	

**AMENDMENT COVER SHEET**

Amendment(s) to the following petition, list(s), schedule(s) or statement(s) are transmitted herewith:

☒ Voluntary Petition - *Specify reason for amendment:* Amended to check off that the Debtor is a small business debtor as defined in 11 U.S.C. § 101(51D).

☐ Official Form 6 Schedules (Itemization of Changes Must Be Specified)

☐ Summary of Schedules

☐ Schedule A - Real Property

☐ Schedule B - Personal Property

☐ Schedule C - Property Claimed as Exempt

☒ Schedule D - Creditors holding Secured Claims

Check one:

☐ Creditor(s) added

☐ NO Creditor(s) added

☒ Creditor(s) deleted

☐ Schedule E - Creditors Holding Unsecured Priority Claims

Check one:

☐ Creditor(s) added

☐ NO Creditor(s) added

☐ Creditor(s) deleted

☐ Schedule F - Creditors Holding Unsecured Nonpriority Claims

Check one:

☐ Creditor(s) added

☐ NO Creditor(s) added

☐ Creditor(s) deleted

☐ Schedule G - Executory Contracts and Unexpired Leases

Check one:

☐ Creditor(s) added

☐ NO Creditor(s) added

☐ Creditor(s) deleted

☐ Schedule H - Codebtors

☐ Schedule I - Current Income of Individual Debtor(s)

☐ Schedule J - Current Expenditures of Individual Debtor(s)

☒ Statement of Financial Affairs

☐ Chapter 7 Individual Debtor's Statement of Intention

☐ Chapter 11 List of Equity Security Holders

☐ Chapter 11 List of Creditors Holding 20 Largest Unsecured Claims

☐ Disclosure of Compensation of Attorney for Debtor

☐ Other: \_\_\_\_\_

**NOTICE OF AMENDMENT(S) TO AFFECTED PARTIES**

Pursuant to Fed.R.Bankr.P. 1009(a) and Local Rule 1009-1, I certify that notice of the filing of the amendment(s) checked above has been given this date to the U.S. Trustee, the trustee in this case, and to entities affected by the amendment as follows:

**John Deere Construction & Forestry Co.**  
**6400 NW 86th Street**  
**Johnston, IA 50131-6600**

Date August 8, 2017

/s/ John F. Kroto

Attorney for Debtor(s) [or *pro se* Debtor(s)]

John F. Kroto

(Typed Name)

120 West Tenth Street  
Erie, PA 16501

(Address)

(814) 459-2800

(Phone No.)

91208

List Bar I.D. and State of Admission

Note: An amended matrix of creditors added by the amendment must be submitted on disk with the amendment. Attorneys filing electronically on the Case Management/Electronic Case Filing System may add creditors to the case electronically.

Fill in this information to identify your case:

United States Bankruptcy Court for the:

WESTERN DISTRICT OF PENNSYLVANIA

Case number (if known) 17-10514 Chapter 11

☒ Check if this an amended filing

Official Form 201

Voluntary Petition for Non-Individuals Filing for Bankruptcy

4/16

If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and case number (if known). For more information, a separate document, *Instructions for Bankruptcy Forms for Non-Individuals*, is available.

1. Debtor's name B.L. Gustafson, LLC
2. All other names debtor used in the last 8 years  
Include any assumed names, trade names and doing business as names  
DBA Gus's Guns  
DBA Priority Care Ambulance  
DBA B.L. Gustafson Excavation  
DBA Brynwood Farm  
DBA Brian Gustafson Rentals
3. Debtor's federal Employer Identification Number (EIN) 36-4721179
4. Debtor's address
- | Principal place of business  | Mailing address, if different from principal place of business  |
|--|---|
| <u>6135 Route 46</u><br><u>Smethport, PA 16749</u><br>Number, Street, City, State & ZIP Code | <u>P.O. Box 344</u><br><u>Smethport, PA 16749</u><br>P.O. Box, Number, Street, City, State & ZIP Code                                 |
| <u>McKean</u><br>County  | <u>Location of principal assets, if different from principal place of business</u><br>_____<br>Number, Street, City, State & ZIP Code |
5. Debtor's website (URL) \_\_\_\_\_
6. Type of debtor
- ☒ Corporation (including Limited Liability Company (LLC) and Limited Liability Partnership (LLP))
- ☐ Partnership (excluding LLP)
- ☐ Other. Specify: \_\_\_\_\_

Debtor **B.L. Gustafson, LLC**  
Name

Case number (if known) **17-10514**

7. Describe debtor's business A. Check one:

- ☐ Health Care Business (as defined in 11 U.S.C. § 101(27A))  
☐ Single Asset Real Estate (as defined in 11 U.S.C. § 101(51B))  
☐ Railroad (as defined in 11 U.S.C. § 101(44))  
☐ Stockbroker (as defined in 11 U.S.C. § 101(53A))  
☐ Commodity Broker (as defined in 11 U.S.C. § 101(6))  
☐ Clearing Bank (as defined in 11 U.S.C. § 781(3))  
☒ None of the above

B. Check all that apply

- ☐ Tax-exempt entity (as described in 26 U.S.C. § 501)  
☐ Investment company, including hedge fund or pooled investment vehicle (as defined in 15 U.S.C. § 80a-3)  
☐ Investment advisor (as defined in 15 U.S.C. § 80b-2(a)(11))

C. NAICS (North American Industry Classification System) 4-digit code that best describes debtor.  
See <http://www.uscourts.gov/four-digit-national-association-naics-codes>.

8. Under which chapter of the Bankruptcy Code is the debtor filing? Check one:

- ☐ Chapter 7  
☐ Chapter 9

☒ Chapter 11. Check all that apply:

- ☐ Debtor's aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$2,566,050 (amount subject to adjustment on 4/01/19 and every 3 years after that).  
☒ The debtor is a small business debtor as defined in 11 U.S.C. § 101(51D). If the debtor is a small business debtor, attach the most recent balance sheet, statement of operations, cash-flow statement, and federal income tax return or if all of these documents do not exist, follow the procedure in 11 U.S.C. § 1116(1)(B).  
☐ A plan is being filed with this petition.  
☐ Acceptances of the plan were solicited prepetition from one or more classes of creditors, in accordance with 11 U.S.C. § 1126(b).  
☐ The debtor is required to file periodic reports (for example, 10K and 10Q) with the Securities and Exchange Commission according to § 13 or 15(d) of the Securities Exchange Act of 1934. File the attachment to Voluntary Petition for Non-Individuals Filing for Bankruptcy under Chapter 11 (Official Form 201A) with this form.  
☐ The debtor is a shell company as defined in the Securities Exchange Act of 1934 Rule 12b-2.

☐ Chapter 12

9. Were prior bankruptcy cases filed by or against the debtor within the last 8 years? ☐ No. ☒ Yes.

If more than 2 cases, attach a separate list.

District	<b>Western District of Pennsylvania</b>	When	<b>12/28/15</b>	Case number	<b>15-11361</b>
District		When		Case number	

10. Are any bankruptcy cases pending or being filed by a business partner or an affiliate of the debtor? ☒ No ☐ Yes.

List all cases. If more than 1, attach a separate list

Debtor		Relationship	
District		When	Case number, if known

Debtor **B.L. Gustafson, LLC**  
Name

Case number (if known) **17-10514**

**11. Why is the case filed in this district?**

Check all that apply:

- ☒ Debtor has had its domicile, principal place of business, or principal assets in this district for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other district.
- ☐ A bankruptcy case concerning debtor's affiliate, general partner, or partnership is pending in this district.

**12. Does the debtor own or have possession of any real property or personal property that needs immediate attention?**

☒ No

☐ Yes.

Answer below for each property that needs immediate attention. Attach additional sheets if needed.

**Why does the property need immediate attention? (Check all that apply.)**

☐ It poses or is alleged to pose a threat of imminent and identifiable hazard to public health or safety.

What is the hazard? \_\_\_\_\_

☐ It needs to be physically secured or protected from the weather.

☐ It includes perishable goods or assets that could quickly deteriorate or lose value without attention (for example, livestock, seasonal goods, meat, dairy, produce, or securities-related assets or other options).

☐ Other \_\_\_\_\_

**Where is the property?** \_\_\_\_\_

Number, Street, City, State & ZIP Code

**Is the property insured?**

☐ No

☐ Yes. Insurance agency \_\_\_\_\_

Contact name \_\_\_\_\_

Phone \_\_\_\_\_

**Statistical and administrative information**

**13. Debtor's estimation of available funds**

Check one:

- ☒ Funds will be available for distribution to unsecured creditors.
- ☐ After any administrative expenses are paid, no funds will be available to unsecured creditors.

**14. Estimated number of creditors**

☒ 1-49

☐ 50-99

☐ 100-199

☐ 200-999

☐ 1,000-5,000

☐ 5001-10,000

☐ 10,001-25,000

☐ 25,001-50,000

☐ 50,001-100,000

☐ More than 100,000

**15. Estimated Assets**

☐ \$0 - \$50,000

☒ \$50,001 - \$100,000

☐ \$100,001 - \$500,000

☐ \$500,001 - \$1 million

☐ \$1,000,001 - \$10 million

☐ \$10,000,001 - \$50 million

☐ \$50,000,001 - \$100 million

☐ \$100,000,001 - \$500 million

☐ \$500,000,001 - \$1 billion

☐ \$1,000,000,001 - \$10 billion

☐ \$10,000,000,001 - \$50 billion

☐ More than \$50 billion

**16. Estimated liabilities**

☐ \$0 - \$50,000

☐ \$50,001 - \$100,000

☐ \$100,001 - \$500,000

☒ \$500,001 - \$1 million

☐ \$1,000,001 - \$10 million

☐ \$10,000,001 - \$50 million

☐ \$50,000,001 - \$100 million

☐ \$100,000,001 - \$500 million

☐ \$500,000,001 - \$1 billion

☐ \$1,000,000,001 - \$10 billion

☐ \$10,000,000,001 - \$50 billion

☐ More than \$50 billion

Debtor **B.L. Gustafson, LLC**  
Name

Case number (if known) **17-10514**

**Request for Relief, Declaration, and Signatures**

**WARNING --** Bankruptcy fraud is a serious crime. Making a false statement in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

**17. Declaration and signature  
of authorized  
representative of debtor**

The debtor requests relief in accordance with the chapter of title 11, United States Code, specified in this petition.

I have been authorized to file this petition on behalf of the debtor.

I have examined the information in this petition and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on **August 8, 2017**  
MM / DD / YYYY

**X /s/ Brian L. Gustafson**

Signature of authorized representative of debtor

**Brian L. Gustafson**

Printed name

Title **Manager**

**18. Signature of attorney**

**X /s/ John F. Kroto**

Signature of attorney for debtor

Date **August 8, 2017**

MM / DD / YYYY

**John F. Kroto**

Printed name

**Knox McLaughlin Gornall & Sennett, P.C.**

Firm name

**120 West Tenth Street**

**Erie, PA 16501**

Number, Street, City, State & ZIP Code

Contact phone **(814) 459-2800**

Email address **jkroto@kmgslaw.com**

**91208**

Bar number and State

Fill in this information to identify the case:

Debtor name **B.L. Gustafson, LLC**

United States Bankruptcy Court for the: **WESTERN DISTRICT OF PENNSYLVANIA**

Case number (if known) **17-10514**

☒ Check if this is an amended filing

Official Form 206D

**Schedule D: Creditors Who Have Claims Secured by Property**

12/15

Be as complete and accurate as possible.

1. Do any creditors have claims secured by debtor's property?

- ☐ No. Check this box and submit page 1 of this form to the court with debtor's other schedules. Debtor has nothing else to report on this form.
- ☒ Yes. Fill in all of the information below.

**Part 1: List Creditors Who Have Secured Claims**

2. List in alphabetical order all creditors who have secured claims. If a creditor has more than one secured claim, list the creditor separately for each claim.

Column A

Amount of claim

Do not deduct the value of collateral.

Column B

Value of collateral that supports this claim

**2.1 Ford Credit**

Creditor's Name

**PO Box 220564  
Pittsburgh, PA 15257**

Creditor's mailing address

Creditor's email address, if known

Date debt was incurred

Last 4 digits of account number

**0613**

Do multiple creditors have an interest in the same property?

☒ No

☐ Yes. Specify each creditor, including this creditor and its relative priority.

Describe debtor's property that is subject to a lien

**2014 Ford F250 Truck**

**\$17,594.61**

**\$20,000.00**

Describe the lien

**Automobile lien**

Is the creditor an insider or related party?

☒ No

☐ Yes

Is anyone else liable on this claim?

☒ No

☐ Yes. Fill out *Schedule H: Codebtors* (Official Form 206H)

As of the petition filing date, the claim is:

Check all that apply

☐ Contingent

☐ Unliquidated

☐ Disputed

**2.2 John Deere Construction & Forestry Co.**

Creditor's Name

**6400 NW 86th Street  
Johnston, IA 50131-6600**

Creditor's mailing address

Creditor's email address, if known

Date debt was incurred

**6/27/2012**

Last 4 digits of account number

**8671**

Describe debtor's property that is subject to a lien

**Loan contract for CT319D Compact Track Loader and LAF6864 Auto Rake  
\*UCC-1 Financing Statement filed on 7/9/2012  
at Financing Statement no. 2012070905148**

**\$1.00**

**\$0.00**

Describe the lien

Is the creditor an insider or related party?

☒ No

☐ Yes

Is anyone else liable on this claim?

☐ No

☒ Yes. Fill out *Schedule H: Codebtors* (Official Form 206H)

Debtor **B.L. Gustafson, LLC**  
Name

Case number (if know) **17-10514**

Do multiple creditors have an interest in the same property?

☒ No

☐ Yes. Specify each creditor, including this creditor and its relative priority.

As of the petition filing date, the claim is:  
Check all that apply

☐ Contingent

☐ Unliquidated

☐ Disputed

2.3 **John Deere Construction & Forestry Co.**

Creditor's Name

**6400 NW 86th Street  
Johnston, IA 50131-6600**

Creditor's mailing address

Creditor's email address, if known

Date debt was incurred

**9/25/2012**

Last 4 digits of account number

**9318**

Do multiple creditors have an interest in the same property?

☒ No

☐ Yes. Specify each creditor, including this creditor and its relative priority.

Describe debtor's property that is subject to a lien

**\$1.00**

**\$0.00**

**Loan contract for 60D Compact Excavator  
\*UCC-1 Financing Statement filed on  
10/4/2012 at Financing Statement no.  
2012100405525**

Describe the lien

Is the creditor an insider or related party?

☒ No

☐ Yes

Is anyone else liable on this claim?

☐ No

☒ Yes. Fill out *Schedule H: Codebtors* (Official Form 206H)

As of the petition filing date, the claim is:  
Check all that apply

☐ Contingent

☐ Unliquidated

☐ Disputed

2.4 **John Deere Construction & Forestry Co.**

Creditor's Name

**6400 NW 86th Street  
Johnston, IA 50131-6600**

Creditor's mailing address

Creditor's email address, if known

Date debt was incurred

**10/5/2012**

Last 4 digits of account number

**4916**

Do multiple creditors have an interest in the same property?

☒ No

☐ Yes. Specify each creditor, including this creditor and its relative priority.

Describe debtor's property that is subject to a lien

**\$1.00**

**\$0.00**

**Loan contract for 450J LT Crawler Dozer  
\*UCC-1 Financing Statement filed on  
10/12/2012 at Financing Statement no.  
2012101203388**

Describe the lien

Is the creditor an insider or related party?

☒ No

☐ Yes

Is anyone else liable on this claim?

☒ No

☐ Yes. Fill out *Schedule H: Codebtors* (Official Form 206H)

As of the petition filing date, the claim is:  
Check all that apply

☐ Contingent

☐ Unliquidated

☐ Disputed

2.5 **John Deere Construction & Forestry Co.**

Describe debtor's property that is subject to a lien

**\$1.00**

**\$0.00**



Debtor **B.L. Gustafson, LLC**  
Name

Case number (if know)

**17-10514**

Creditor's Name

**6400 NW 86th Street  
Johnston, IA 50131-6600**

Creditor's mailing address

Creditor's email address, if known

Date debt was incurred

**2/25/2013**

Last 4 digits of account number

**1090**

Do multiple creditors have an interest in the same property?

☒ No

☐ Yes. Specify each creditor, including this creditor and its relative priority.

**450J LT Crawler Dozer**

**UCC-1 Financing Statement filed on 3/6/2013  
at Financing Statement no. 2013030603825  
and amended on 6/24/2013 at Financing  
Statement no. 2013062405568**

Describe the lien

Is the creditor an insider or related party?

☒ No

☐ Yes

Is anyone else liable on this claim?

☐ No

☒ Yes. Fill out *Schedule H: Codebtors* (Official Form 206H)

As of the petition filing date, the claim is:

Check all that apply

☐ Contingent

☐ Unliquidated

☒ Disputed

**2.6 John Deere Construction & Forestry Co.**

Creditor's Name

**6400 NW 86th Street  
Johnston, IA 50131-6600**

Creditor's mailing address

Creditor's email address, if known

Date debt was incurred

**6/11/2013**

Last 4 digits of account number

**4052**

Do multiple creditors have an interest in the same property?

☒ No

☐ Yes. Specify each creditor, including this creditor and its relative priority.

Describe debtor's property that is subject to a lien

**\$1.00**

**\$0.00**

**85D Excavator**

**UCC-1 Financing Statement filed on 6/21/2013  
at Financing Statement no. 2013062104217**

Describe the lien

Is the creditor an insider or related party?

☒ No

☐ Yes

Is anyone else liable on this claim?

☐ No

☒ Yes. Fill out *Schedule H: Codebtors* (Official Form 206H)

As of the petition filing date, the claim is:

Check all that apply

☐ Contingent

☐ Unliquidated

☒ Disputed

**2.7 John Deere Construction & Forestry Co.**

Creditor's Name

**6400 NW 86th Street  
Johnston, IA 50131-6600**

Creditor's mailing address

Creditor's email address, if known

Date debt was incurred

**8/14/2013**

Describe debtor's property that is subject to a lien

**\$1.00**

**\$0.00**

**CT323D Compact Track Loader**

**UCC-1 Financing Statement filed on 9/9/2013  
at Financing Statement no. 2013090903962**

Describe the lien

Is the creditor an insider or related party?

☒ No

☐ Yes

Is anyone else liable on this claim?

☐ No

☒ Yes. Fill out *Schedule H: Codebtors* (Official Form 206H)

Debtor **B.L. Gustafson, LLC**  
Name

Case number (if know) **17-10514**

Last 4 digits of account number

**2998**

Do multiple creditors have an interest in the same property?

☒ No

☐ Yes. Specify each creditor, including this creditor and its relative priority.

As of the petition filing date, the claim is:  
Check all that apply

☐ Contingent

☐ Unliquidated

☒ Disputed

**2.8** **John Deere Construction & Forestry Co.**

Creditor's Name

**Robert S. Bernstein, Esq.  
Bernstein-Burkley, P.C.  
707 Grant Street, Suite  
2200  
Pittsburgh, PA 15219**

Creditor's mailing address

Creditor's email address, if known

Date debt was incurred

Last 4 digits of account number

Do multiple creditors have an interest in the same property?

☒ No

☐ Yes. Specify each creditor, including this creditor and its relative priority.

Describe debtor's property that is subject to a lien

**\$256,233.53**

**\$0.00**

Describe the lien

Is the creditor an insider or related party?

☒ No

☐ Yes

Is anyone else liable on this claim?

☒ No

☐ Yes. Fill out *Schedule H: Creditors* (Official Form 206H)

As of the petition filing date, the claim is:  
Check all that apply

☐ Contingent

☐ Unliquidated

☐ Disputed

**2.9** **Kubota Credit Corporation, U.S.A.**

Creditor's Name

**c/o Kiely A. Lewandowski,  
Esquire  
U.S. Steel Tower  
600 Grant Street, 44th Floor  
Pittsburgh, PA 15219**

Creditor's mailing address

Creditor's email address, if known

Date debt was incurred

**9/19/2013**

Last 4 digits of account number

**8702**

Do multiple creditors have an interest in the same property?

☒ No

☐ Yes. Specify each creditor, including this creditor and its relative priority.

Describe debtor's property that is subject to a lien

**\$17,000.00**

**\$15,000.00**

**Kubota 4WD HST Tractor w/Foldable Rops,  
Kubota Front LDR and Land Pride Rotary  
Cutter 1872  
UCC-1 F.S. filed on 10/1/13 at F.S. no.  
2013100100090**

Describe the lien

Is the creditor an insider or related party?

☒ No

☐ Yes

Is anyone else liable on this claim?

☒ No

☐ Yes. Fill out *Schedule H: Creditors* (Official Form 206H)

As of the petition filing date, the claim is:  
Check all that apply

☐ Contingent

☐ Unliquidated

☐ Disputed

Debtor **B.L. Gustafson, LLC**  
Name

Case number (if know) **17-10514**

2.1  
0 **Kubota Credit Corporation,  
U.S.A.**

Creditor's Name

**c/o Kiely A. Lewandowski,  
Esquire  
U.S. Steel Tower  
600 Grant Street, 44th Floor  
Pittsburgh, PA 15219**

Creditor's mailing address

Creditor's email address, if known

Date debt was incurred

**10/16/2013**

Last 4 digits of account number

**9677**

Do multiple creditors have an  
interest in the same property?

☒ No

☐ Yes. Specify each creditor,  
including this creditor and its relative  
priority.

Describe debtor's property that is subject to a lien

**Kubota 4WD Tractor, Kubota 60" Mid Mount  
Mower Deck, Kubota Loader, Kubota 3rd  
Function Valve Kit, Kubota Pallet Fork Frame,  
and Kubota 36" Forks  
UCC-1 F.S. filed on 11/8/2013 at F.S. no.  
2013110805818**

**\$9,000.00**

**\$7,000.00**

Describe the lien

**Retail Installment Contract**

Is the creditor an insider or related party?

☒ No

☐ Yes

Is anyone else liable on this claim?

☒ No

☐ Yes. Fill out *Schedule H: Creditors* (Official Form 206H)

As of the petition filing date, the claim is:

Check all that apply

☐ Contingent

☐ Unliquidated

☐ Disputed

3. Total of the dollar amounts from Part 1, Column A, including the amounts from the Additional Page, if any.

**\$299,834.14**

**Part 2: List Others to Be Notified for a Debt Already Listed in Part 1**

List in alphabetical order any others who must be notified for a debt already listed in Part 1. Examples of entities that may be listed are collection agencies, assignees of claims listed above, and attorneys for secured creditors.

If no others need to be notified for the debts listed in Part 1, do not fill out or submit this page. If additional pages are needed, copy this page.

Name and address

**Robert S. Bernstein, Esquire  
Bernstein-Burkley, P.C.  
707 Grant Street  
Suite 2200 Gulf Tower  
Pittsburgh, PA 15219**

On which line in Part 1 did  
you enter the related creditor?

Last 4 digits of  
account number for  
this entity

Line **2.2**

Fill in this information to identify the case:

Debtor name B.L. Gustafson, LLC

United States Bankruptcy Court for the: WESTERN DISTRICT OF PENNSYLVANIA

Case number (if known) 17-10514

☐ Check if this is an amended filing

Official Form 207

Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy

04/16

The debtor must answer every question. If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and case number (if known).

Part 1: Income

1. Gross revenue from business

☐ None.

Identify the beginning and ending dates of the debtor's fiscal year, which may be a calendar year

Sources of revenue  
Check all that apply

Gross revenue  
(before deductions and exclusions)

From the beginning of the fiscal year to filing date:  
From 1/01/2017 to Filing Date

☒ Operating a business  
☐ Other

\$339,813.45

For prior year:  
From 1/01/2016 to 12/31/2016

☒ Operating a business  
☐ Other

\$823,539.84

For year before that:  
From 1/01/2015 to 12/31/2015

☒ Operating a business  
☐ Other

\$850,000.00

2. Non-business revenue

Include revenue regardless of whether that revenue is taxable. *Non-business income* may include interest, dividends, money collected from lawsuits, and royalties. List each source and the gross revenue for each separately. Do not include revenue listed in line 1.

☒ None.

Description of sources of revenue

Gross revenue from  
each source  
(before deductions and exclusions)

Part 2: List Certain Transfers Made Before Filing for Bankruptcy

3. Certain payments or transfers to creditors within 90 days before filing this case

List payments or transfers—including expense reimbursements—to any creditor, other than regular employee compensation, within 90 days before filing this case unless the aggregate value of all property transferred to that creditor is less than \$6,425. (This amount may be adjusted on 4/01/19 and every 3 years after that with respect to cases filed on or after the date of adjustment.)

☐ None.

Creditor's Name and Address

Dates

Total amount of value

Reasons for payment or transfer  
Check all that apply

Debtor **B.L. Gustafson, LLC**

Case number (if known) **17-10514**

Creditor's Name and Address	Dates	Total amount of value	Reasons for payment or transfer Check all that apply.
3.1. UPMC Health Plan UPMC Heath Network Box 371842 Pittsburgh, PA 15250-7842	4/3/17	\$13,072.53	<input type="checkbox"/> Secured debt <input type="checkbox"/> Unsecured loan repayments <input type="checkbox"/> Suppliers or vendors <input type="checkbox"/> Services <input checked="" type="checkbox"/> Other <u>Health insurance</u>

**4. Payments or other transfers of property made within 1 year before filing this case that benefited any insider**

List payments or transfers, including expense reimbursements, made within 1 year before filing this case on debts owed to an insider or guaranteed or cosigned by an insider unless the aggregate value of all property transferred to or for the benefit of the insider is less than \$6,425. (This amount may be adjusted on 4/01/19 and every 3 years after that with respect to cases filed on or after the date of adjustment.) Do not include any payments listed in line 3. *Insiders* include officers, directors, and anyone in control of a corporate debtor and their relatives; general partners of a partnership debtor and their relatives; affiliates of the debtor and insiders of such affiliates; and any managing agent of the debtor. 11 U.S.C. § 101(31).

☒ None.

Insider's name and address Relationship to debtor	Dates	Total amount of value	Reasons for payment or transfer
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**5. Repossessions, foreclosures, and returns**

List all property of the debtor that was obtained by a creditor within 1 year before filing this case, including property repossessed by a creditor, sold at a foreclosure sale, transferred by a deed in lieu of foreclosure, or returned to the seller. Do not include property listed in line 6.

☒ None

Creditor's name and address	Describe of the Property	Date	Value of property
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**6. Setoffs**

List any creditor, including a bank or financial institution, that within 90 days before filing this case set off or otherwise took anything from an account of the debtor without permission or refused to make a payment at the debtor's direction from an account of the debtor because the debtor owed a debt.

☒ None

Creditor's name and address	Description of the action creditor took	Date action was taken	Amount
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**Part 3: Legal Actions or Assignments**

**7. Legal actions, administrative proceedings, court actions, executions, attachments, or governmental audits**

List the legal actions, proceedings, investigations, arbitrations, mediations, and audits by federal or state agencies in which the debtor was involved in any capacity—within 1 year before filing this case.

☐ None.

Case title Case number	Nature of case	Court or agency's name and address	Status of case
7.1. John Deere Construction & Forestry Company vs. Clearfield Transportation Services, Inc., Brian L. Gustafson, B.L. Gustafson, LLC and Amy B. Gustafson No. 523 C.D. 2014	Writ of Execution	Court of Common Pleas of McKean County McKean County Courthouse 500 W. Main Street Smethport, PA 16749	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
7.2. Christopher Poorman, et al. v. Brian Gustafson, et al. GD-17-003761		Allegheny County Court of Common Pleas 436 Grant Street Pittsburgh, PA 15219	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Debtor **B.L. Gustafson, LLC**

Case number (if known) **17-10514**

Case title Case number	Nature of case	Court or agency's name and address	Status of case
7.3. <b>AETNA Life Insurance Co. Medical Services PO Box 981106 El Paso, TX 79998</b>	IRS Notice of Levy		<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
7.4. <b>Excellus Health Plan Incorporated 165 Court Street Rochester, NY 14647</b>	IRS Notice of Levy		<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
7.5. <b>United Healthcare Insurance Company PO Box 1459 Minneapolis, MN 55440-1459</b>	IRS Notice of Levy		<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
7.6. <b>Echo Health Inc. 868 Corporate Way Westlake, OH 44145</b>	IRS Notice of Levy		<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
7.7. <b>Humana, Inc. PO Box 14750 Lexington, KY 40512</b>	IRS Notice of Levy		<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
7.8. <b>Highmark Health Services, Inc. 120 5th Ave., Ste. 922 Pittsburgh, PA 15222-3000</b>	IRS Notice of Levy		<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
7.9. <b>Northwest Savings Bank PO Box 128 AM-7 2 Liberty Street Warren, PA 16365</b>	IRS Notice of Levy		<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
7.10 <b>Northwest Savings Bank PO Box 128 AM-7 2 Liberty Street Warren, PA 16365</b>	IRS Notice of Levy		<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
7.11 <b>Novitas Solutions, Inc. Attn: Accounts Receivable - Tax Lev 2020 Technology Parkway, Ste. 100 P.O. Box 3063 Mechanicsburg, PA 17055-1806</b>	IRS Notice of Levy		<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
7.12 <b>Robert J. Lovell and Constance E. Lovell vs. B. L. Gustafson, LLC 2017-10071</b>	Confession Judgment	<b>McKean County Court of Common Pleas McKean County Courthouse 500 West Main Street Smethport, PA 16749</b>	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded

Debtor **B.L. Gustafson, LLC**

Case number (if known) **17-10514**

Case title Case number	Nature of case	Court or agency's name and address	Status of case
7.13 Internal Revenue Service vs. B. L. Gustafson, LLC 2017-03420	Federal Tax Lien	Court of Common Pleas of McKean County McKean County Courthouse 500 W. Main Street Smethport, PA 16749	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
7.14 Internal Revenue Service vs. B. L. Gustafson, LLC et al. 2016-03415	Federal Tax Lien	Court of Common Pleas of McKean County McKean County Courthouse 500 W. Main Street Smethport, PA 16749	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded

**8. Assignments and receivership**

List any property in the hands of an assignee for the benefit of creditors during the 120 days before filing this case and any property in the hands of a receiver, custodian, or other court-appointed officer within 1 year before filing this case.

☒ None

**Part 4: Certain Gifts and Charitable Contributions**

**9. List all gifts or charitable contributions the debtor gave to a recipient within 2 years before filing this case unless the aggregate value of the gifts to that recipient is less than \$1,000**

☒ None

Recipient's name and address	Description of the gifts or contributions	Dates given	Value
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**Part 5: Certain Losses**

**10. All losses from fire, theft, or other casualty within 1 year before filing this case.**

☒ None

Description of the property lost and how the loss occurred	Amount of payments received for the loss	Dates of loss	Value of property lost
	If you have received payments to cover the loss, for example, from insurance, government compensation, or tort liability, list the total received.  List unpaid claims on Official Form 106A/B (Schedule A/B: Assets - Real and Personal Property).		

**Part 6: Certain Payments or Transfers**

**11. Payments related to bankruptcy**

List any payments of money or other transfers of property made by the debtor or person acting on behalf of the debtor within 1 year before the filing of this case to another person or entity, including attorneys, that the debtor consulted about debt consolidation or restructuring, seeking bankruptcy relief, or filing a bankruptcy case.

☐ None.

Debtor **B.L. Gustafson, LLC**

Case number (if known) **17-10514**

Who was paid or who received the transfer? Address	If not money, describe any property transferred	Dates	Total amount or value
11.1. <b>Knox McLaughlin Gornall &amp; Sennett, P.C. 120 West Tenth Street Erie, PA 16501</b>	<b>Attorney Fees</b>	<b>12/2/15</b>	<b>\$10,000.00</b>
Email or website address			
Who made the payment, if not debtor?			

**12. Self-settled trusts of which the debtor is a beneficiary**

List any payments or transfers of property made by the debtor or a person acting on behalf of the debtor within 10 years before the filing of this case to a self-settled trust or similar device.  
Do not include transfers already listed on this statement.

☒ None.

Name of trust or device	Describe any property transferred	Dates transfers were made	Total amount or value
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**13. Transfers not already listed on this statement**

List any transfers of money or other property by sale, trade, or any other means made by the debtor or a person acting on behalf of the debtor within 2 years before the filing of this case to another person, other than property transferred in the ordinary course of business or financial affairs. Include both outright transfers and transfers made as security. Do not include gifts or transfers previously listed on this statement.

☒ None.

Who received transfer? Address	Description of property transferred or payments received or debts paid in exchange	Date transfer was made	Total amount or value
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**Part 7: Previous Locations**

**14. Previous addresses**

List all previous addresses used by the debtor within 3 years before filing this case and the dates the addresses were used.

☒ Does not apply

Address	Dates of occupancy From-To
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**Part 8: Health Care Bankruptcies**

**15. Health Care bankruptcies**

Is the debtor primarily engaged in offering services and facilities for:  
- diagnosing or treating injury, deformity, or disease, or  
- providing any surgical, psychiatric, drug treatment, or obstetric care?

- ☒ No. Go to Part 9.  
☐ Yes. Fill in the information below.

Facility name and address	Nature of the business operation, including type of services the debtor provides	If debtor provides meals and housing, number of patients in debtor's care
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**Part 9: Personally Identifiable Information**



Debtor **B.L. Gustafson, LLC**

Case number (if known) **17-10514**

**16. Does the debtor collect and retain personally identifiable information of customers?**

- ☐ No.
- ☒ Yes. State the nature of the information collected and retained.

**Patient care information**

Does the debtor have a privacy policy about that information?

- ☒ No
- ☐ Yes

**17. Within 6 years before filing this case, have any employees of the debtor been participants in any ERISA, 401(k), 403(b), or other pension or profit-sharing plan made available by the debtor as an employee benefit?**

- ☒ No. Go to Part 10.
- ☐ Yes. Does the debtor serve as plan administrator?

**Part 10: Certain Financial Accounts, Safe Deposit Boxes, and Storage Units**

**18. Closed financial accounts**

Within 1 year before filing this case, were any financial accounts or instruments held in the debtor's name, or for the debtor's benefit, closed, sold, moved, or transferred? Include checking, savings, money market, or other financial accounts; certificates of deposit; and shares in banks, credit unions, brokerage houses, cooperatives, associations, and other financial institutions.

- ☒ None

Financial institution name and Address	Last 4 digits of account number	Type of account or instrument	Date account was closed, sold, moved, or transferred	Last balance before closing or transfer
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**19. Safe deposit boxes**

List any safe deposit box or other depository for securities, cash, or other valuables the debtor now has or did have within 1 year before filing this case.

- ☒ None

Depository institution name and address	Names of anyone with access to it Address	Description of the contents	Do you still have it?
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**20. Off-premises storage**

List any property kept in storage units or warehouses within 1 year before filing this case. Do not include facilities that are in a part of a building in which the debtor does business.

- ☒ None

Facility name and address	Names of anyone with access to it	Description of the contents	Do you still have it?
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**Part 11: Property the Debtor Holds or Controls That the Debtor Does Not Own**

**21. Property held for another**

List any property that the debtor holds or controls that another entity owns. Include any property borrowed from, being stored for, or held in trust. Do not list leased or rented property.

- ☒ None

**Part 12: Details About Environment Information**

For the purpose of Part 12, the following definitions apply:

*Environmental law* means any statute or governmental regulation that concerns pollution, contamination, or hazardous material, regardless of the medium affected (air, land, water, or any other medium).

Debtor B.L. Gustafson, LLC

Case number (if known) 17-10514

Site means any location, facility, or property, including disposal sites, that the debtor now owns, operates, or utilizes or that the debtor formerly owned, operated, or utilized.

Hazardous material means anything that an environmental law defines as hazardous or toxic, or describes as a pollutant, contaminant, or a similarly harmful substance.

Report all notices, releases, and proceedings known, regardless of when they occurred.

22. Has the debtor been a party in any judicial or administrative proceeding under any environmental law? Include settlements and orders.

- ☒ No.  
☐ Yes. Provide details below.

Case title Case number	Court or agency name and address	Nature of the case	Status of case
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23. Has any governmental unit otherwise notified the debtor that the debtor may be liable or potentially liable under or in violation of an environmental law?

- ☒ No.  
☐ Yes. Provide details below.

Site name and address	Governmental unit name and address	Environmental law, if known	Date of notice
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24. Has the debtor notified any governmental unit of any release of hazardous material?

- ☒ No.  
☐ Yes. Provide details below.

Site name and address	Governmental unit name and address	Environmental law, if known	Date of notice
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**Part 13: Details About the Debtor's Business or Connections to Any Business**

25. Other businesses in which the debtor has or has had an interest

List any business for which the debtor was an owner, partner, member, or otherwise a person in control within 6 years before filing this case. Include this information even if already listed in the Schedules.

- ☒ None

Business name address	Describe the nature of the business	Employer Identification number Do not include Social Security number or ITIN. Dates business existed
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26. Books, records, and financial statements

26a. List all accountants and bookkeepers who maintained the debtor's books and records within 2 years before filing this case.

- ☐ None

Name and address	Date of service From-To
26a.1. Thomas Ball Thomas Ball Financial Services 428 W. Main Street #2 Smethport, PA 16749	November 2015 - present

26b. List all firms or individuals who have audited, compiled, or reviewed debtor's books of account and records or prepared a financial statement within 2 years before filing this case.

- ☐ None

Debtor **B.L. Gustafson, LLC**

Case number (if known) **17-10514**

Name and address	Date of service From-To
26b.1. <b>Thomas Ball Thomas Ball Financial Services 428 W. Main Street #2 Smethport, PA 16749</b>	<b>November 2015 - present</b>

26c. List all firms or individuals who were in possession of the debtor's books of account and records when this case is filed.

☐ None

Name and address	If any books of account and records are unavailable, explain why
26c.1. <b>Thomas Ball Thomas Ball Financial Services 428 W. Main Street #2 Smethport, PA 16749</b>	
26c.2. <b>Brian L. Gustafson 1235 W. Main Street Smethport, PA 16749</b>	

26d. List all financial institutions, creditors, and other parties, including mercantile and trade agencies, to whom the debtor issued a financial statement within 2 years before filing this case.

☒ None

Name and address

**27. Inventories**

Have any inventories of the debtor's property been taken within 2 years before filing this case?

☒ No

☐ Yes. Give the details about the two most recent inventories.

Name of the person who supervised the taking of the inventory	Date of inventory	The dollar amount and basis (cost, market, or other basis) of each inventory
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28. List the debtor's officers, directors, managing members, general partners, members in control, controlling shareholders, or other people in control of the debtor at the time of the filing of this case.

Name	Address	Position and nature of any interest	% of interest, if any
<b>Brian L. Gustafson</b>	<b>1235 West Main Street Smethport, PA 16749</b>	<b>Manager</b>	<b>100%</b>

29. Within 1 year before the filing of this case, did the debtor have officers, directors, managing members, general partners, members in control of the debtor, or shareholders in control of the debtor who no longer hold these positions?

☒ No

☐ Yes. Identify below.

**30. Payments, distributions, or withdrawals credited or given to insiders**

Within 1 year before filing this case, did the debtor provide an insider with value in any form, including salary, other compensation, draws, bonuses, loans, credits on loans, stock redemptions, and options exercised?

☒ No

☐ Yes. Identify below.

Debtor B.L. Gustafson, LLC

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Name and address of recipient

Amount of money or description and value of property

Dates

Reason for providing the value

31. Within 6 years before filing this case, has the debtor been a member of any consolidated group for tax purposes?

- ☒ No  
☐ Yes. Identify below.

Name of the parent corporation

Employer Identification number of the parent corporation

32. Within 6 years before filing this case, has the debtor as an employer been responsible for contributing to a pension fund?

- ☒ No  
☐ Yes. Identify below.

Name of the parent corporation

Employer Identification number of the parent corporation

**Part 14: Signature and Declaration**

**WARNING** -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

I have examined the information in this *Statement of Financial Affairs* and any attachments and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on August 8, 2017

/s/ Brian L. Gustafson

Signature of individual signing on behalf of the debtor

Brian L. Gustafson

Printed name

Position or relationship to debtor Manager

Are additional pages to *Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy* (Official Form 207) attached?

- ☒ No  
☐ Yes